# Cyrille Chenavier - Benjamin Dupont - Philippe Malbos

Institut Camille Jordan, Université Lyon 1

#### **International Workshop on Confluence 2020**

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I. Introduction : string and linear critical pair lemma

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- II. Algebraic polygraphs modulo
- III. Algebraic critical pair lemma

I. Introduction: string and linear critical pair lemma

▶ Algebraic rewriting : studying presentations by generators and oriented algebraic relations.

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- Algebraic rewriting : studying presentations by generators and oriented algebraic relations.
- First algebraic rewriting result : the critical branching lemma (CBL).
  - Depends on the algebraic context and the nature of branchings.
  - Branchings are splitted into orthogonal (depending on the algebraic nature of objects) and overlappings.

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String rewriting systems (SRS)



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- Theorem (String critical pair lemma) An SRS is locally confluent if and only if all its critical branchings are confluent.

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  - **b** To avoid non-termination, restriction on rewriting steps: if  $u \rightarrow v$ , then  $-u \rightarrow -v$  and

 $v = (u + v) - u \rightarrow (u + v) - v = u.$ 

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• Rewriting step :  $\lambda f + h \rightarrow \lambda g + h$  such that  $f \notin \text{Supp}(h) = \{h_i \text{ monomials } | h = \sum h_i\}$ .

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CBL requires an additional termination assumption to hold.

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- Algebraic theories are presented by cartesian 2-polygraphs (Malbos-Mimram), that is triples (P<sub>0</sub>, P<sub>1</sub>, P<sub>2</sub>) made of
  - a signature (P<sub>0</sub>, P<sub>1</sub>) of sorts and operations,
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 $(\{\bullet\}, \{\mu : 2 \to 1, e : 0 \to 1\}, \{\mu(\mu(x, y), z) \Rightarrow \mu(x, \mu(y, z)), \mu(e, x) \Rightarrow x, \mu(x, e) \Rightarrow x.\})$ 

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Rewriting paths are interpreted as 2-cells in the free 2-theory P<sup>×</sup><sub>2</sub> on (P<sub>0</sub>, P<sub>1</sub>, P<sub>2</sub>), and are denoted by a : a<sub>-</sub> ⇒ a<sub>+</sub>.

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- An algebraic polygraph is a data made of
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  - ▶ a family of generating constants  $Q = (Q_s)_{s \in P_0}$ , seen as operations  $x : 0 \to s$ ,
  - a family on relations on the set  $P_1(Q)$  of ground terms over  $(P_0, P_1 \cup Q)$ .

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► Example : P = Mon,  $Q = \{s, t\}$  and  $R = \{\alpha : \mu(\mu(s, t), s) \Rightarrow \mu(t, \mu(s, t))\}$ .

► Algebraic polygraph of axioms : (P<sub>0</sub>, P<sub>1</sub>⟨Q⟩, P<sub>2</sub>⟨Q⟩) where P<sub>2</sub>⟨Q⟩ contains the "groundified" 2-cells of P<sub>2</sub>

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Rewriting with R on E-equivalence classes :

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Rewriting system modulo : (R, E, S) such that  $R \subseteq S \subseteq {}_{E}R_{E}$ , Jouannaud-Kirchner '84.

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Algebraic polygraph modulo : quadruple (P, Q, R, S) where (P, Q, R) is an algebraic polygraph and S is a set of oriented relations such that

 $R \subseteq S \subseteq {}_{P_2\langle Q \rangle} R_{P_2\langle Q \rangle} := {}_P R_P.$ 

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# Positivity

► Given an algebraic polygraph modulo (P, Q, R, S), denote by  $\pi : P_1\langle Q \rangle \rightarrow P_1\langle Q \rangle / P_2\langle Q \rangle$ sending a ground term f on its equivalence class  $\overline{f}$  modulo  $P_2\langle Q \rangle$ .

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  - $\sigma(\overline{f}) = NF(f, P'_2 \mod P''_2)$ , where  $f \in \pi^{-1}(\overline{f})$ , the set of normal forms of f for  $P'_2$  modulo  $P''_2$ .

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#### Example :

String rewriting systems

 $P_2 = \emptyset \cup Ass$ 

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(Every 2-cell is positive)

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#### Linear Rewriting Systems

Let CMod be the cartesian 2-polygraph given by CMod<sub>0</sub> = {r, m}, CMod<sub>1</sub> contains operations

 $+: rr \rightarrow r, -: r \rightarrow r, 0: 0 \rightarrow r, \cdot: rr \rightarrow r, .: rm \rightarrow r, \oplus: mm \rightarrow m, l: m \rightarrow m, 0^{\oplus}: 0 \rightarrow m$ and CMod<sub>2</sub> contains the following generating 2-cells :

$x + 0 \Rightarrow x$	$(ring_1)$	$x + (-x) \Rightarrow 0$	$(ring_2)$
$-0 \Rightarrow 0$	$(ring_3)$	$-(-x) \Rightarrow x$	$(ring_4)$
$-(x+y) \Rightarrow (-x) + (-y)$	$(ring_5)$	$x \cdot (y+z) \Rightarrow x \cdot y + x \cdot z$	$(ring_6)$
$x \cdot 0 \Rightarrow 0$	$(ring_7)$	$x \cdot (-y) \Rightarrow -(x \cdot y)$	$(ring_8)$
$1 \cdot x \Rightarrow x$	$(ring_9)$	$a\oplus 0^\oplus \Rightarrow a$	$(mod_1)$
$x.(y.a) \Rightarrow (x \cdot y).a$	$(mod_2)$	$1.a \Rightarrow a$	$(mod_3)$
$x.a \oplus y.a \Rightarrow (x+y).a$	$(mod_4)$	$x.(a \oplus b) \Rightarrow (x.a) \oplus (y.b)$	$(mod_5)$
$a \oplus (r.a) \Rightarrow (1+r).a$	$(mod_6)$	$a\oplus a \Rightarrow (1+1).a$	$(mod_7)$
$x.0^{\oplus} \Rightarrow 0^{\oplus}$	$(mod_8)$	$0.a \Rightarrow 0^\oplus$	(mod9)
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Theorem [Peterson-Stickel, Hullot] CMod is a presentation of the theory of modules over commutative rings that is confluent modulo AC.

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• It is local if  $\ell(a)$ ,  $\ell(e)$ ,  $\ell(b) \leq 1$  and  $\ell(a) + \ell(e) + \ell(b) = 2$ .

Let P = (P, Q, R, S) be an APM with a positivity strategy σ, a σ-branching is a triple (a, e, b) where a,b are σ-positive S-rewriting paths and e is a 2-cell of P<sub>2</sub>⟨Q⟩<sup>T</sup> such that



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  - two  $\sigma$ -positive S-reductions a' and b' of size at most 1 as follows :



- An APM  $\mathcal{P} = (P, Q, R, S)$  with a positive strategy  $\sigma$  is
  - **terminating** is there is no infinite  $\sigma$ -positive  ${}_{P}R_{P}$ -rewriting sequence.
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• When  $P_2(Q) R \subseteq S$ , property **b**<sub>0</sub>) is always satisfied.

# III. Algebraic critical branching lemma

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Confluence modulo diagrams of an APM :



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- Example : With P = Mon,  $Q = \{s, t\}$ ,  $R = \{\alpha : \mu(\mu(s, t), s) \Rightarrow \mu(t, \mu(s, t))\}$  and  $\sigma$  the full strategy, the AIRS is

$$\langle s, t \mid sts \rightarrow tst \rangle \quad s = \leftthreetimes \mid , \quad t = \mid \leftthreetimes, \quad \checkmark = \bigvee$$

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### Algebraic rewriting systems and critical branching lemma

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#### Conclusion :

- This work suggests new tools for rewriting in various algebraic structures.
- Need a better understanding of how to choose strategies, and ensure positive confluence in general.
- Develop a critical branching lemma for various algebraic contexts : groups, differential algebras, operads, higher-dimensional categories.

Thank you !